

1-14-05

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23552 7590 12/13/2004

MERCHANT & GOULD PC  
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David Ortiz (Depositor's name)  
[Signature] (Signature)  
January 13, 2005 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/688,146      | 10/16/2003  | Keiji Nishikiori     | 10873.1326US01      | 9220             |

TITLE OF INVENTION: OPTICAL INFORMATION RECORDING MEDIUM

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1400    | \$300           | \$1700           | 03/14/2005 |

| EXAMINER                  | ART UNIT | CLASS-SUBCLASS |
|---------------------------|----------|----------------|
| MULVANEY, ELIZABETH EVANS | 1774     | 428-064100     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Merchant & Gould P.C.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Matsushita Electrical Industrial Co., Ltd.

Osaka, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature [Signature]

Date January 13, 2005

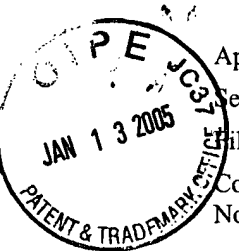
Typed or printed name Douglas P. Mueller

Registration No. 30,300

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: NISHIKIORI et al.

Examiner: Elizabeth Evans Mulvaney

Serial No.: 10/688,146

Group Art Unit: 1774

Filed: October 16, 2003

Docket: 10873.1326US01

Confirmation No.: 9220

Notice of Allow. Date: December 13, 2004

Due Date: March 14, 2005

Title: OPTICAL INFORMATION RECORDING MEDIUM

CERTIFICATE UNDER 37 CFR 1.10:

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Date of Deposit: January 13, 2005

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By: \_\_\_\_\_

Name: David Ortiz

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612.332.5300

By: \_\_\_\_\_  
Name: Douglas P. Mueller  
Reg. No.: B0,300  
DPM/sbd



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## DOCKET NOTIFICATION SLIP

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TO: PATENT DOCKETING

Applicant: NISHIKIORI et al.  
Title: OPTICAL INFORMATION RECORDING MEDIUM  
Serial No: 10/688,146  
Filed: October 16, 2003  
Docket: 10873.1326US01  
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